

Account Opening Form: FOR AUSTRALIAN COMPANIES

You can complete this form by filling out the fields by hand or on-screen. Once complete, please send it to us together with:

- Proof of identity for each Authorised Person
- Proof of your company address (e.g. Utility bill, Rent bill, Bank statement)

You can send these to us by:

- Email:** admin@VelocityTrade.com (with signed documents attached)
Fax: Australia 1800 018392 New Zealand 64 9 309 6283
Mail: PO Box 6045 Wellesley St, Auckland 1141 New Zealand

Part A: Company Information

1. Company Name

2. Australian Company Number (ACN) (if applicable)

3. Australian Business Number (ABN)

4. Trading Address

5. City

6. Postcode

<input type="text"/>	<input type="text"/>
----------------------	----------------------

7. Country

8. Company Account Information

Four Largest Shareholders (≥ 25%)

Name	<input type="text"/>
Shareholding	<input type="text"/>
Name	<input type="text"/>
Shareholding	<input type="text"/>
Name	<input type="text"/>
Shareholding	<input type="text"/>
Name	<input type="text"/>
Shareholding	<input type="text"/>

Part B: Authorisation Information

The client authorises Velocity Trade to act on any instructions (oral, written or electronic) given by the following named persons.

Photo ID (Passport, Drivers Licence) is required for each Authorised Person.

9. Primary Dealing Contact (1)

Surname	<input type="text"/>
Given Name(s)	<input type="text"/>
Date of birth	<input type="text"/>
Residential Address (no PO Boxes)	<input type="text"/>
Email address	<input type="text"/>
Phone	<input type="text"/>
Mobile	<input type="text"/>
Position	<input type="text"/>

10. Declaration

I/we declare that the information stated in the Authorised Persons information is a true, complete and accurate record.

I/we acknowledge and agree that Velocity Trade may rely on such information for all purposes until Velocity Trade receives notification (in writing) from me/us of any changes.

11. Authorised Person's Signature

<input type="text"/>	Date	<input type="text"/>	<input type="text"/>	<input type="text"/>
----------------------	------	----------------------	----------------------	----------------------

12. Security Question

For the primary contact: please select a question from the list below and write your answer:

Question	<input type="checkbox"/> Your mother's maiden name <input type="checkbox"/> Your pet's name <input type="checkbox"/> Your favourite sport <input type="checkbox"/> Your high school <input type="checkbox"/> Your favourite band
Answer	<input type="text"/>

Account Opening Form: FOR AUSTRALIAN COMPANIES

Part B: Authorisation Information (cont)

Photo ID (Passport, Drivers Licence)
is required for each Authorised Person.

13. Authorised Person Details (2)

Surname	<input type="text"/>
Given Name(s)	<input type="text"/>
Date of birth	<input type="text"/>
Residential Address (no PO Boxes)	<input type="text"/>
Email address	<input type="text"/>
Phone	<input type="text"/>
Mobile	<input type="text"/>
Position	<input type="text"/>

14. Declaration

I/we declare that the information stated in the Authorised Persons information is a true, complete and accurate record.

I/we acknowledge and agree that Velocity Trade may rely on such information for all purposes until Velocity Trade receives notification (in writing) from me/us of any changes.

15. Authorised Person's Signature

<input type="text"/>	Date	<input type="text"/>	<input type="text"/>	<input type="text"/>
----------------------	------	----------------------	----------------------	----------------------

16. Authorised Person Details (3)

Surname	<input type="text"/>
Given Name(s)	<input type="text"/>
Date of birth	<input type="text"/>
Residential Address (no PO Boxes)	<input type="text"/>
Email address	<input type="text"/>
Phone	<input type="text"/>
Mobile	<input type="text"/>
Position	<input type="text"/>

17. Declaration

I/we declare that the information stated in the Authorised Persons information is a true, complete and accurate record.

I/we acknowledge and agree that Velocity Trade may rely on such information for all purposes until Velocity Trade receives notification (in writing) from me/us of any changes.

18. Authorised Person's Signature

<input type="text"/>	Date	<input type="text"/>	<input type="text"/>	<input type="text"/>
----------------------	------	----------------------	----------------------	----------------------

Account Opening Form: FOR AUSTRALIAN COMPANIES

Part C: Legal Information

19. Terms & Conditions

- a) By completing this Application Form, you are requesting certain services from Velocity Trade Limited. The terms of the services that you are requesting are set out in the Velocity Trade Client Agreement and Addendum to the Client Agreement as published at www.VelocityTrade.co.nz by Velocity Trade and provided to you by Velocity Trade.
- b) Approval of your application is subject to Velocity Trade's client approval processes and is at the sole discretion of Velocity Trade. Velocity Trade may not assess or approve your application if the information requested on this Application Form is not supplied. Velocity Trade may also request further information from you, in addition to the information requested in this Application Form, in order to assess and approve your application. Failure to provide any further information that is requested by Velocity Trade to Velocity Trade may result in assessment and/or approval of your application being delayed and/or refused.
- c) **Privacy Statement:** In completing this Application Form you may supply Velocity Trade with information which is Personal Information subject to New Zealand's Privacy Act 1993 (as amended). Any Personal Information that you provide to Velocity Trade in this Application Form or in response to any further requests for information by Velocity Trade is collected, used and disclosed in accordance with Velocity Trade's Privacy Statement which can be accessed at www.VelocityTrade.co.nz or by requesting a copy from Velocity Trade. You should be aware that Velocity Trade collects your Personal Information to provide and administer your trading account. Unless you notify Velocity Trade otherwise, Velocity Trade may use your Personal Information to advertise to or offer you other products and services Velocity Trade expects you might be interested in or supply your information to other entities in the Velocity Trade group for the same or similar purposes. Velocity Trade will not supply your financial adviser (if any) with information about your accounts unless you instruct Velocity Trade to do so in writing. You are entitled to contact Velocity Trade and request access to your Personal Information.

Part D: Director Information

- d) **Credit Checks:** By signing this Application Form, you understand that Velocity Trade may be asking you for your Personal Information which may be passed on to one or more third-party credit reporting agencies to conduct a credit check on you which will be used for the purposes of determining your credit worthiness. As a result of Velocity Trade's enquiry to that/those credit reporting agencies:
- That/those credit reporting agencies may provide Velocity Trade with information, including Personal Information, about you;
 - Velocity Trade may provide your Personal Information to that/those credit reporting agencies, and that/those credit reporting agencies will hold your Personal Information on their systems and use it to provide their credit reporting service, which may include providing your Personal Information to other third parties that may use that/those credit reporting agencies' services; and
 - Velocity Trade may use one or more credit reporting agencies' services in the future for purposes related to the provision of Velocity Trade's services to you. This may include using that/those credit reporting agencies' monitoring services to receive updates if any of the information held about you changes.
- If you default on your Obligations to Velocity Trade, information about that default may be given to one or more credit reporting agencies, and that/those credit reporting agencies may give information about your default to other customers of that/those credit reporting agencies. Velocity Trade will not provide any credit reporting agencies with information regarding the specific Transactions that you enter into.
- e) Any capitalised terms used in this Application Form have the definitions given them in the Client Agreement, the Addendum to the Client Agreement, and the combined Financial Services Guide Product Disclosure Statement, all as published at www.VelocityTrade.co.nz by Velocity Trade and provided to you by Velocity Trade.

Part D: Director Information

* We require a minimum of 2 Directors to sign the Terms and conditions.

In the event that 2 Directors signatures cannot be obtained we will require:
1 Director and a) The Company CEO or; b) The Company Secretary

20. Director Details (1)

Title

Surname

Given Name(s)

Date of birth Gender M F

Residential Address
(no PO Boxes)

23. Director Details (2)

Title

Surname

Given Name(s)

Date of birth Gender M F

Residential Address
(no PO Boxes)

21. Declaration

- I have read, understood and agree to the terms and conditions above.
- I have read and understood the combined Product Disclosure Statement and Financial Services Guide.
- I have read, understood and agree to be bound by the terms and conditions of the Client Agreement and the Addendum to the Client Agreement.

22. Signature

Date / /

Title Name

Director * D.O.B / /

24. Declaration

- I have read, understood and agree to the terms and conditions above.
- I have read and understood the combined Product Disclosure Statement and Financial Services Guide.
- I have read, understood and agree to be bound by the terms and conditions of the Client Agreement and the Addendum to the Client Agreement.

25. Signature

Date / /

Title Name

Director CEO Secretary D.O.B / /